

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
20 February 2003 (20.02.2003)

PCT

(10) International Publication Number
WO 03/013654 A1

(51) International Patent Classification⁷: A61N 7/00

(21) International Application Number: PCT/US02/24389

(22) International Filing Date: 1 August 2002 (01-08.2002)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
09/925,193 9 August 2001 (09.08.2001) US

(71) Applicant: EXOGEN, INC. [US/US]; P.O. Box 6860, 10
Constitution Avenue, Piscataway, NJ 08855 (US).

(72) Inventors: WINDER, Alan, A.; 56 Patrick Road, West-
port, CT 06880 (US). TALISH, Roger, J.; 5 Harman Court,
Hillsborough, NJ 08876 (US).

(74) Agent: PRATT, John, S.; Kilpatrick Stockton LLP, Suite
2800, 1100 Peachtree Street, Atlanta, GA 30309-4530
(US).

(81) Designated States (*national*): AE, AG, AI, AM, AT, AU,
AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU,
CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GH, GM,
HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC,
LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW,
MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG,
SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VN,
YU, ZA, ZM, ZW.

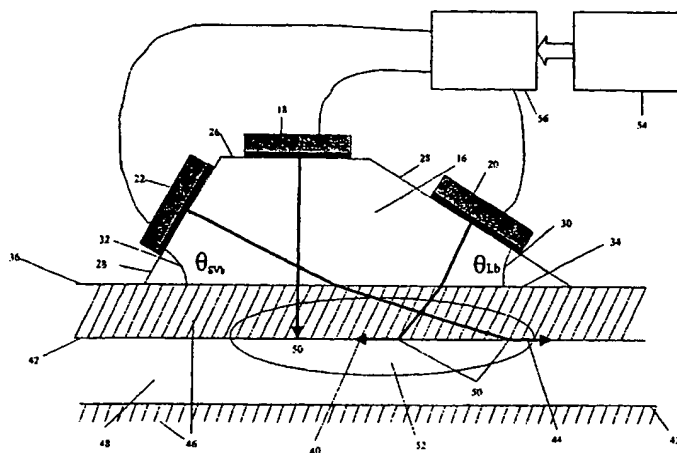
(84) Designated States (*regional*): ARIPO patent (GI, GM,
KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW),
Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM),
European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE,
ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, SK,
TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ,
GW, ML, MR, NE, SN, TD, TG).

Published:

— with international search report

For two-letter codes and other abbreviations, refer to the "Guid-
ance Notes on Codes and Abbreviations" appearing at the begin-
ning of each regular issue of the PCT Gazette.

(54) Title: METHOD AND MEANS FOR CONTROLLING ACOUSTIC MODES IN TISSUE HEALING APPLICATIONS



(57) Abstract: A modal converter having at least one ultrasonic transducer or at least one array of such transducers positioned on the modal converter at various angles relative to a tissue surface and bone tissue surface, such that some combination of one or more of the following occur: longitudinal waves are produced perpendicular to the bone surface, longitudinal waves propagate along the surface of the skin after incidence at the skin tissue surface, and both longitudinal and shear waves propagate along the surface of the bone after incidence at the bone tissue surface. Illuminating an open tissue wound and bone fracture site with these acoustic modes enhances and promotes angiogenesis and the biological endostial or periosteal healing phases, or both, of the bone fracture healing process. The spatial and temporal distribution of acoustic waves directed to the treatment area via the ultrasonic transducers and the modal converter may be controlled.

METHOD AND MEANS FOR CONTROLLING ACOUSTIC MODES IN TISSUE HEALING APPLICATIONS

5

FIELD OF THE INVENTION

This invention relates to therapeutic ultrasound devices, and more particularly, to the use of coupling systems to control the angles at which the acoustic waves are delivered from one or more transducers to the human body, thereby facilitating the transfer of acoustic energy into specific acoustic modes upon incidence of the acoustic waves at the skin tissue and bone tissue interfaces or surfaces, to further promote tissue healing for both shallow and deep anatomical structures.

BACKGROUND OF THE INVENTION

Ultrasound has been used as a therapeutic technique in physical medicine for over 45 years. It has been a recommended treatment technique for adjunctive therapy for the treatment of pain, soft tissue injury, and joint dysfunction including osteoarthritis, peri-arthritis, bursitis, tenosynovitis, and a variety of musculoskeletal syndromes. Additionally, ultrasound has been used in applications such as acceleration of wound healing, phonophoresis of topical drugs, treatment of scar tissue, and treatment of sports injuries.

The therapeutic biological effects of ultrasound may be characterized into two major areas: thermal and nonthermal. The nonthermal effects can include acoustic streaming, cavitation, and other mechanical effects over the broad range of ultrasonic frequencies from about 0.05 MHz (megahertz) to about 5.0 MHz. The electrical output from a signal generator is converted into mechanical vibration through a transducer which is generally made of a piezoelectric material, such as lead zirconate titanate (PZT), single-crystal ferroelectric relaxors, such as PMN-PZ-PT, or the like. The mechanical vibration produces an acoustic wave which travels through the tissue and is absorbed in the propagating process. The rate of viscous absorption and the associated increase in temperature are dependent on the micro-structural properties of the tissue-type encountered, the frequency of the acoustic wave, the spatial-temporal acoustic intensity and the degree of nonlinear propagation in tissue. The acoustic energy may be in the

form of a continuous wave or a pulsed wave, depending on the therapeutic application, and is typically transferred from the transducer to the patient's tissue using an acoustic coupling material, such as an ultrasonic gel, lotion, hydrogel, or water. Acoustic intensities of 0.03 to 3.0 W/cm² (Watts per square centimeter) are typically applied for therapeutic purposes, in pulsed or continuous modes, allowing treatment of bone fractures and acute, as well as chronic, tissue injury.

While the beneficial aspects of ultrasound have been explored, as evident in U.S. Patent No. 4,530,360 to *Duarte*, U.S. Patent No. 5,003,965 to *Talish et al.*, U.S. Patent No. 5,413,550 to *Castel*, and U.S. Patent No. 5,520,612 to *Winder et al.*, no device has been disclosed in the art to control the angle at which the acoustic waves are delivered to specific targeted tissue sites or to control the acoustic mode itself. Typically, therapeutic ultrasound treatment is administered by utilizing a piezoelectric transducer to generate acoustic longitudinal waves that propagate in tissue, primarily as longitudinal waves, to the treatment area. If the incident longitudinal waves are not normal to the piezoelectric transducer/skin tissue interface, the resulting refracted acoustic waves in the subsequent soft tissue propagate as quasi-longitudinal waves and quasi-shear waves at various refraction angles. As a result, it is often difficult to administer the acoustic waves to patients in the desired alignment with the targeted tissue area using the means for therapeutic ultrasound devices that are currently available. These devices cannot effectively control, explicitly or implicitly, the nature of the acoustic waves to the treatment area. Therefore, a need exists for an apparatus capable of facilitating control of the angle or angles at which acoustic longitudinal and shear waves are selectively delivered to the targeted tissue sites to facilitate the therapeutic process.

25

SUMMARY OF THE INVENTION

Set forth below is a brief summary of the invention which solves the foregoing problems and provides benefits and advantages in accordance with the purposes of the present invention as embodied and broadly described herein.

This invention relates to devices, systems, and methods that facilitate control of the refracted and reflected ultrasonic waves in tissue from various designated reflection sites to further promote healing at specific treatment areas. One aspect of the invention relates to a modal converter whose geometrical configuration permits the positioning of a

plurality of transducers at various angles. The modal converter may have a trapezoidal-shaped cross-section which may be asymmetrical and is composed of a solid material having the ability to channel and support the propagation of acoustic waves without introducing significant refraction, distortion or attenuation of the acoustic waves.

5 The modal converter provides distinct acoustic coupling paths through a plurality of transducers located in at least one of four ways. First, a transducer located on the top surface (26) of the modal converter, with its transmitting face parallel to the skin tissue surface, transmits acoustic longitudinal waves perpendicular to the skin tissue and bone tissue surfaces. Second, a transducer located on an angled side of the modal converter at
10 a first critical angle for bone tissue transmits acoustic longitudinal waves which strike the bone surface and are converted partially into longitudinal waves which travel parallel to the bone surface and partially into shear waves that travel at an angle determined by Poisson's ratio for bone tissue. Third, a transducer located on another angled side of the modal converter at a second critical angle for bone tissue transmits acoustic longitudinal
15 waves which strike the bone surface and are totally converted into shear waves traveling parallel to the bone surface. Fourth, a transducer located on yet another angled side of the modal converter at a first critical angle for skin tissue transmits acoustic longitudinal waves which strike the skin tissue surface and are converted partially into longitudinal waves which travel parallel to the skin tissue surface and partially into shear waves that
20 travel at an angle determined by Poisson's ratio for soft tissue. The modal converter device can incorporate and support any combination of these various coupling paths as depicted in Figures 1 through 3, and is an efficient means for converting longitudinal waves into shear waves for therapeutic applications.

 In the following detailed description of this invention, the first medium may
25 correspond with the modal converter or soft tissue, depending on the intervening interface being described. When the first medium corresponds to the modal converter, the second medium corresponds to soft tissue. In this instance, the first critical angle corresponds to an angle that produces a longitudinal mode component traveling along the skin tissue surface. When the first medium corresponds to soft tissue, the second medium
30 corresponds to bone tissue. In this instance, the first critical angle corresponds to an angle that produces a longitudinal mode component traveling along the bone tissue surface, and the second critical angle corresponds to an angle that produces shear waves

traveling along the bone tissue surface. Low intensity ultrasound longitudinal and shear waves are desired in order to increase the endostial and periostial phases of the bone fracture healing process.

Low intensity ultrasound has also been clinically demonstrated to enhance the process of angiogenesis or to increase blood flow around the bone fracture site, thereby further accelerating the healing of superficial musculo-skeletal tissue wounds and bone fractures. To facilitate control of the healing process, the transducers are controlled by a programmable micro-controller that permits sequential or simultaneous interrogation of the target tissue site with acoustic waves of different signal structure at various excitation rates.

BRIEF DESCRIPTION OF DRAWINGS

The accompanying drawings, which are incorporated in and form a part of the specification, illustrate embodiments of the present invention and, together with the description, disclose the principles of the invention. In the drawings:

Figure 1 is cross-sectional view of an embodiment of the modal converter configured as an asymmetrical trapezoidal wedge which depicts the first-critical angle (θ_{lb}) and the second-critical angle (θ_{svb}) for bone tissue.

Figure 2 is a cross-sectional view of an embodiment of the modal converter configured as an asymmetrical trapezoidal wedge which depicts the first-critical angle (θ_{lb}) for bone tissue and a steeper first-critical angle (θ_{ls}) for skin tissue.

Figure 3 is cross-sectional view of an alternative embodiment of the modal converter configured as an asymmetrical trapezoidal wedge which depicts the first-critical angle (θ_{ls}) for skin tissue and the second-critical angle (θ_{svb}) for bone tissue.

Figures 1 through 3 illustrate only the refracted waves for the sake of drawing simplification, not the reflected longitudinal and shear waves produced by the oblique incidence of the longitudinal waves at the skin tissue and bone tissue surfaces.

Figure 4 is a top plan view of an embodiment of the present invention in which four transducers are mounted on angled sides of the modal converter and one transducer is mounted on the top surface of the modal converter.

Figure 5 is a cross-sectional view of one embodiment of the modal converter configured as an asymmetrical trapezoidal wedge which depicts the system controller,

signal generator and transducer as units, with rechargeable batteries, integrated within the modal converter.

DETAILED DESCRIPTION OF THE DRAWINGS

5 This present invention relates to a modal converter 16 which enables a user to control the angles at which acoustic longitudinal waves 40 and shear waves 44 are delivered to living tissue in order to effectuate a cascade of biological healing mechanisms. See Figure 1. The modal converter 16 facilitates control of the spatial and temporal distribution of reflected energy from designated reflection sites 50 throughout
10 the treatment area 52. The treatment area 52 may be composed of open tissue wounds or bone fractures, or both, with or without one or more surrounding musculoskeletal soft tissue wounds. Further, the surrounding soft tissue 46 may include, but is not limited to, tendons, muscles, ligaments, joints and bursae, peripheral nerves, skin, and subcutaneous fat. Control of both the absorbing and reflecting paths of acoustic waves in tissue can
15 result in significant therapeutic benefits.

 The modal converter 16 may have a trapezoidal cross-sectional shape, as shown in Figures 1 through 3 and 5. The modal converter 16 may also have other polygonal cross-sectional shapes which effectively locate the transducers at the desired angular orientation as set forth below in agreement with the spirit of this invention. Further, the following
20 detailed description of the invention is provided for the purpose of explanation and is not intended to limit the presenting invention to the physical apparatus described herein. Instead, the invention includes any manner of interrogating a treatment area with ultrasonic acoustic waves in accordance with the invention set forth below.

 The modal converter 16 may be composed of suitable low viscous loss materials
25 which include, but are not limited to, thermoplastics, thermosets, elastomers and mixtures thereof. Useful thermoplastics include, but are not limited to, ethyl vinyl acetate, available from USI Corp (c/o Plastic Systems, Marlboro, MA), ecothane CPC 41, available from Emerson and Cumming (Dewey and Almay Chemical division, Canton, MA), and polyurethane RP 6400, available from Ren Plastics (a Division of Ciba Geigy,
30 Fountain Valley, CA). Useful thermosets include, but are not limited to, epoxies such as Spurr epoxy, available from Ernest F. Fullam, Inc. (Schenectady, NY) and Stycast, available from Emerson and Cumming. Useful elastomers include, but are not limited to,

RTV 60 and RTV 90, which are available from General Electric (Silicon Products Division, Waterford, NY).

In the embodiments illustrated, the modal converter 16 is configured as an asymmetrical wedge having a trapezoidal cross-section capable of accurately positioning a plurality of transducers relative to the skin tissue surface 36. Figures 1 through 3 show a flat transducer 18, a first critical angled transducer 20, and a second critical angled transducer 22. Each transducer is constructed of materials and designs that are commonly used in ultrasound applications. The at least one transducer may have piezoelectric properties, which include, but are not limited to, ceramic, single-crystal relaxor ferroelectric, lead zirconate titanate, lead metaniobate, barium titanate, and piezoelectric co-polymers of polyvinylidene fluoride (PVDF). Alternatively, the transducer may have magnetostrictive properties. The transducers are typically mounted on the outer surface of the modal converter. However, the transducers may be mounted on the modal converter as an inset within cavities in the modal converter 16, or mounted inside the modal converter 16 itself, as shown in Figure 5. Further, the transducers may be positioned on the modal converter in any manner which allows the transducers to emit ultrasonic acoustic waves in accordance with the angles set forth below. The transducers are acoustically coupled to the modal converter with a coupling material having an acoustic impedance comparable to the acoustic impedance of the modal converter, which is an acoustic impedance within plus or minus ten percent of the acoustic impedance of the modal converter. In some embodiments, the acoustic impedance of the modal converter is almost equal to that of human soft tissue. Additionally, the modal converter 16 is composed of materials having a longitudinal velocity that is less than the longitudinal velocity for human musculo-skeletal soft tissue and that is less than the longitudinal velocity for bone tissue. The acoustic waves which emanate from each of the transducers are controlled spatially and temporally by a system controller 24. The design and fabrication of the system controller 24 are well known to those who practice the art.

The modal converter 16 of Figures 1 through 3 includes a substantially flat top surface 26 and multiple angled surfaces 28. However, as shown in Figure 5, if the transducers are inset within the modal converter 16, the top surface 26 need not be substantially flat. Instead, the top surface 26 may be any shape as long as the transducer

18 is parallel within the bottom surface 34 of the modal wedge converter 16. The transducer 18 positioned on the substantially flat top surface 26 or inset within the modal wedge converter 16 provides the treatment area 52 with longitudinal waves perpendicular to the skin tissue surface 36 and the bone tissue surface 42. The angled surfaces 28 are
 5 located at either a first-critical angle for bone tissue, θ_{Lb} 30 or for skin tissue, θ_{Ls} 31 or a second critical angle for bone tissue, θ_{SVb} 32. All critical angles specified are with respect to the bottom surface 34 of the modal converter 16.

In operation, the bottom surface 34 of the modal converter 16 is coupled to a skin tissue surface using a coupling material having an acoustic impedance comparable to the
 10 acoustic impedance for human soft tissue 36, thereby maximizing the transfer of acoustic energy from the modal converter 16 to the human body. The subscripts for the above angles, as indicated in Figures 1 to 3, denote (reading from left to right) the propagating acoustic mode, longitudinal (L) or vertical shear (SV), followed by the tissue surface along which the acoustic wave propagates after reflection at the respective interface,
 15 namely, bone (b) or skin (s).

The angled transducers 20 and 22 are capable of being located at numerous critical angles that enable the acoustic waves produced by the transducers to provide the various coupling paths as shown in Figures 1 through 3. For instance, the angled transducers 20 and 22, as depicted in Figure 1, provide the treatment area 52 with longitudinal waves 40
 20 and shear waves 44 that propagate parallel to and along the bone tissue surface 42. Further, the acoustic waves emitted by the angled transducers 20 and 22, as depicted in Figure 2, may be converted into longitudinal waves 40 that propagate parallel to and along both the skin tissue surface 36 and bone tissue surface 42. Additionally, the angled transducers 20 and 22, as depicted in Figure 3, can provide the treatment area 52 with
 25 longitudinal waves 40 that propagate parallel to and along the skin tissue surface 36 and shear waves 44 that propagate parallel to and along the bone tissue 42 surface.

A therapeutically desirable set of acoustic waves can be produced by locating the angled transducers 20 and 22 at certain critical angles. Specifically, the angled transducers 20 and 22 positioned at first critical angles, θ_{Lb} 30 and θ_{Ls} 31, can produce
 30 longitudinal waves that travel parallel to and along the bone tissue 42 and skin tissue 36 surfaces, respectively. Further, the angled transducers 20 and 22 positioned at second critical angle, θ_{SVb} 32, can produce shear waves that travel parallel to and along the bone

tissue 42. These critical angles θ_{Lb} 30, θ_{Ls} 31, and θ_{Svb} 32 may be calculated using relationships between the velocity of longitudinal and shear waves and the elastic properties of solid isotropic homogeneous bulk material, as set forth below. For common modal converter materials, θ_{Lb} 30 is less than θ_{Svb} 32 which is less than θ_{Ls} 31.

5 The reflection of an ultrasonic wave occurs at the interface between two media with different acoustic impedances. Two such locations are at the skin tissue surface 36 and bone tissue surface 42. The acoustic impedance is given in Rayls ($\text{kg/m}^2\text{-sec}$) and, for longitudinal waves in bulk materials, is defined as ρC_L , where ρ is the mass density and C_L is the longitudinal velocity of sound in a material. The strength of a reflected
10 wave is determined by the reflection coefficient R at the interface between two media and, in terms of their acoustic impedances, Z_1 and Z_2 , is given as $R=(Z_2-Z_1)/(Z_2+Z_1)$, which may produce a phase shift depending on the relative acoustic impedance of the media. Subscripts 1 and 2 refer to the first and second mediums, where the first medium is characterized by incidence and reflection and the second medium is characterized by
15 refraction and transmission.

For the purposes of estimating the angles to treat bone tissue, the surrounding soft tissue 46 and bone tissue 48 can be considered to be solid isotropic homogeneous material. As such, the longitudinal velocity of the acoustic waves can be expressed in terms of the elastic properties of the tissues:

20
$$C_L = \{(E/\rho) [(1-\nu)/(1+\nu)(1-2\nu)]\},$$

where E is Young's modulus, ρ is the density, and ν is Poisson's ratio, which is a function of the ratio of the shear-to-longitudinal wave velocities. Specifically, Poisson's ratio is calculated as $\nu = [1-2(C_s/C_L)^2]/2[1 - (C_s/C_L)^2]$, where C_s is the shear wave velocity of acoustic waves.

25 The particle direction of shear waves 44 is normal to the propagation direction and may be more effective than longitudinal waves in stimulating the periosteum and surrounding soft tissue found near and at the bone fracture site. There exist two types of shear waves 44, namely shear horizontal and shear vertical which are designated SH and SV, respectively, depending upon the direction of particle movement with respect to the
30 propagation direction. In general, a random shear wave incident at a boundary between two different solid media contains both SH and SV components. Further, SV waves can undergo modal conversion according to the boundary condition established by Snell's

Law:

$$(\sin \theta_s/C_s)_1 = (\sin \theta_L/C_L)_1 = (\sin \theta_L/C_L)_2 = (\sin \theta_s/C_s)_2 \quad , \quad \text{equation 1,}$$

5 where θ_s is the shear angle, θ_L is the longitudinal angle, C_s is the shear velocity, and C_L is the longitudinal velocity. This boundary condition defined by Snell's law also describes the interaction of the longitudinal wave at the interface between medium 1 and medium 2. In contrast, SH waves cannot undergo modal conversion. Instead, SH waves maintain motion that is parallel to the boundary. An acoustic waveguide, such as a bone fracture
10 channel, can support pure SH waves.

When longitudinal waves are directed to reflection site 50 by a modal converter having a first critical angle θ_{Lb} 30 or θ_{Ls} 31 that produce angles of refraction at the bone or skin tissue surface of 90 degrees, the refracted longitudinal waves travel parallel to the interface between bone tissue 48 and the surrounding soft tissue 46 or travel parallel to
15 the interface between the bottom of the modal converter 34 and the skin tissue surface 36. If the angle of incidence is greater than the critical angle, the sine of the angle of refraction as computed by Snell's law is greater than unity. In other words, once the angle of incidence becomes greater than the critical angle, the acoustic wave does not pass into the second medium; rather, it is totally reflected internally at the boundary
20 surface. For angles of incidence that are much greater than the critical angle, the amplitude of the longitudinal wave at the surface, although finite, has very little real acoustic power. Note, too, that there cannot exist a refracted critical angle when the sound velocity in the first medium is less than the sound velocity in the second medium. When a longitudinal wave is transmitted in medium 1 at the first critical angle for a
25 specified boundary surface, the refracted shear wave in medium 2 is at the angle θ_{sv2} given by:

$$\theta_{sv2} = \sin^{-1} \{ (1-2\nu)/2(1-\nu) \}^{1/2} \quad , \quad \text{equation 2,}$$

30 where ν is Poisson's ratio for bone tissue or soft tissue. Poisson's ratio for all materials ranges between 0 and 0.5, where materials with ν equal to 0 are termed completely compressible while materials with ν equal to 0.5 are termed incompressible. In

particular, Poisson's ratio for bone tissue is typically within the range from about 0.29 to about 0.33, while Poisson's ratio for human soft tissue and most elastomers and thermoplastics is typically from about 0.45 to about 0.49. For ν ranging between about 0.29 and about 0.33 and between about 0.45 and about 0.49, C_s/C_L ranges between about 0.5 and about 0.54 and between about 0.14 and about 0.3, respectively. From this data, the modal converter and musculo-skeletal soft tissue can be modeled as a quasi viscous fluid and bone tissue can be modeled as a quasi viscoelastic solid.

As depicted in Figures 1 and 3, when longitudinal waves are directed to the reflection site 50 at the critical angle θ_{svb} 32, the reflected longitudinal waves at the skin tissue surface are negligible and only the refracted shear waves exist in the soft tissue. For the condition when θ_{svb} equals 90 degrees in bone tissue, the longitudinal waves are converted completely into shear waves that travel parallel to the bone tissue surface 42. As the angle for θ_{svb} 32, created between angled surface 28 and bottom surface 34 of the modal wedge converter 16, is increased and consequently θ_{svb} approaches θ_{Ls} , the acoustic longitudinal waves tend toward propagating along the skin tissue interface while the shear wave reflected from the bone surface becomes negligible, decaying exponentially from the bone surface.

The first critical angle 30 and the second critical angle 32 may be established using equation 1 set forth above. According to Measurement of Velocity and Attenuation of Shear Waves in Bovine Compact Bone Using Ultrasonic Spectroscopy that was written by Wu and Cubberley and published in *Ultrasound in Med. & Biol.*, Vol.23, No.1, 129-134, 1997, the average longitudinal velocity in bone tissue has been measured in vitro as being within a range from about 3075 to about 3350 meters per second (m/s), depending on the direction of the acoustic wave relative to the length of the bone fiber. In the same experiment, the average shear wave velocity in bone tissue has been measured to be from about 1750 to about 1950 m/s. These velocities were used in calculation of θ_L and θ_{sv} in equation 1 set forth above for various modal converter materials. The ranges of angles for θ_{Lb} 30, θ_{Ls} 31, and θ_{svb} 32 are given in the following table for various materials whose acoustic impedance is within 10 percent of the acoustic impedance for soft tissue:

Acoustic Modes	Modal Converter Materials	Range of Critical Angles (Degrees)
Longitudinal Waves Along Bone Surface (Lb)	Thermoplastics	26 - 30
Longitudinal Waves Along Bone Surface (Lb)	RTV Elastomer (Rubber)	14 - 21
Longitudinal Waves Along Skin Surface (Ls)	Thermoplastics	74 - 77
Longitudinal Waves Along Skin Surface (Ls)	RTV Elastomer (Rubber)	31 - 43
Shear Waves Along Bone Surface (SVb)	Thermoplastics	50 - 60
Shear Waves Along Bone Surface (SVb)	RTV Elastomer (Rubber)	25 - 38

The nominal properties of the materials involved in the calculation of the critical angles, θ_{Lb} 30, θ_{Ls} 31, and θ_{SVb} 32, include:

5

Material	Z (MRayl)	C _L (m/s)	C _{SV} (m/s)
Transducer (PZT)	28-32	3800	
Matching Layer	4.4-4.9	2800	
Thermoplastics	1.56-1.63	1500-1520	210-460
RTV family	1.41-1.55	830-1080	115-325
Bone Tissue	6.8	3075-3350	1750-1950
Soft Tissue	1.4-1.68	1444-1570	220-470

The values for C_{SV} are based on the range of Poisson's ratio for the various materials given above. Shear velocities for several types of mammalian tissue have been measured in vitro to be less than 20 meters per second, which is more than an order of magnitude less than the range of values shown in the above table for C_{SV} and indicates a Poisson's ratio greater than 0.4995.

In the modal converter 16, transducers are positioned so that acoustic waves may be transmitted to the treatment area 52 in numerous ways. For instance, an acoustic longitudinal wave may propagate to the bone fracture site as an incident longitudinal wave 40 normal to the skin tissue surface 36 and the bone surface 42. In another example, an acoustic longitudinal wave may be transmitted at an angle equal to the first critical angle, θ_{Lb} 30, which after incidence at the interface between surrounding soft tissue 46 and bone tissue 48 is converted partially into a longitudinal wave 40 that travels along the surface of the bone 42 and partially into a shear wave that travels at a refracted angle given by equation 2. For this condition, the refracted angle of shear waves in bone tissue ranges from about 30 to 33 degrees. In yet another example, an acoustic longitudinal wave may be transmitted at an angle equal to the second critical angle, θ_{SVb} 32 which again, after incidence at the interface between surrounding soft tissue and bone tissue, is totally converted into a SV shear wave 44 that travels along the interface between surrounding soft tissue and bone tissue. And in still yet another example, an acoustic longitudinal wave may be transmitted at an angle equal to the first critical angle, θ_{Ls} 31, which after incidence at the skin tissue surface 36, is converted partially into a longitudinal wave 40 that travels along the surface of the skin 36 and partially into a shear wave that travels at a refracted angle in the underlying soft tissue 46 given by equation 2.

For this condition, the refracted angle of shear waves in the soft tissue 46 may range from about 0 to about 18 degrees. These refracted shear waves may have considerable therapeutic value in promoting skin tissue wound healing.

5 The configuration of modal converter 16 establishes the proper alignment of the transducers with respect to the bone tissue surface 42 in order to produce the desired incidence angle θ_{Lb} 30, θ_{Ls} 31, or θ_{SVb} 32 for the desired acoustic modes within the treatment area 52, as shown in Figures 1 through 3. In various embodiments, the modal converter may include one or more of these and other modes.

10 Ultrasound wave propagation in tissue exerts a unidirectional radiation force on all absorbing and reflecting obstacles in its path, even at the microstructural level. In embodiments of this invention, the acoustic waves in the soft tissue 46 are characterized as a low spatial average-temporal average (SATA) acoustic intensity, typically 30 to 100 mW/cm². This level of acoustic waves just exceeds the biological thresholds which can trigger or invoke a cascade of biological healing mechanisms. Further, the therapeutic
15 carrier frequency can range from 10 kHz to 10 MHz. Control of both the absorbing and reflecting paths in tissue can result in significant therapeutic benefits.

In operation, the modal converter 16 is placed on the skin tissue surface 36 of a patient over a treatment area 52 which may be composed of an open tissue wound or a bone fracture site, or both. For an open wound, an ultrasound couplant sheet, such as
20 Hydroscan available from Echo Ultrasound (Reedsville, PA), can be placed over the wound for sterile protection and to reduce cross contamination. The modal converter 16 positions at least one transducer with respect to the skin tissue surface 36 between the bottom of the modal converter 34 and the soft tissue 46, and with respect to the bone surface interface 42 between the surrounding soft tissue 46 and the bone tissue 48.
25 Interrogation of the treatment area 52 is initiated by actuating the system controller 54. The system controller 54 triggers a programmable signal generator 56 to produce ultrasonic excitation signals that are sent to one or more transducers. Each transducer receiving an excitation signal emits an acoustic longitudinal wave that propagates through the modal converter material 16, the skin tissue surface 36 and surrounding soft tissue 46,
30 toward the bone tissue 48. The modal converter 16 may also be used to transmit acoustic waves toward a wound on a skin tissue surface 36 which does not include bone tissue. As set forth above, the acoustic longitudinal wave may undergo modal conversion,

depending on the angle of incidence at which the acoustic wave strikes the bone tissue surface 42.

The at least one transducer produces specific sequential or simultaneous transmissions of acoustic waves, which is controlled by the system controller 54, in order to noninvasively interrogate the treatment area 52 ultrasonically. The system controller 54 may be a programmable microprocessor, but may also include, though is not limited to, integrated circuits, analog devices, programmable logic devices, personal computers or servers. The timing sequences may be established by the user at any time or established during the manufacturing process. In some embodiments, the modal converter 16 may be used to administer therapeutic treatment composed of an ultrasound dosage administered once or twice a day, and repeated daily for several months to effectively stimulate the healing process. In some embodiments, one dosage of acoustic waves ranges between 1 and 60 minutes in length for one or more of the transducers. The modal converter 16 may be used to facilitate and enhance application of therapeutic ultrasound dosages to shallow or deep anatomical structures, or both, in an effort to expedite tissue wound healing, including both the endostial and periostial healing phases in the bone fracture healing process.

In some embodiments, the modal converter 16 includes three transducers, as illustrated in Figures 1 through 3. However, in an alternative embodiment as shown in Figure 4, the modal converter 16 may also include a flat surface 26 and four angled surfaces 28. In the alternative embodiment, one transducer 21 is located on the flat top surface 26 and at least one transducer 21 is located on at least one of the four angled surfaces 28. Each of these angled surfaces 28 may be positioned at either the first critical angle, θ_{Lb} 30 or θ_{Ls} 31, or the second critical angle, θ_{svb} 32. Further, all four angled surfaces 28 may all be located at the first critical angle, θ_{Lb} 30 or θ_{Ls} 31. Alternatively, all four angled surfaces 28 may be located at the second critical angle θ_{svb} 32. Further embodiments could include any combination of angled surfaces 28 at critical angles, θ_{Lb} 30, θ_{Ls} 31 or θ_{svb} 32.

Further, another alternative embodiment may include more than five transducers. For instance, the modal converter 16 may include a flat top surface 26 as shown in the alternative embodiment depicted in Figure 4. However, rather than limiting the number of sides to four, this alternative embodiment may include a plurality of angled sides

greater than four in number. Specifically, the angled surfaces 28 could be any number greater than four. Further, the angled surfaces 28 may be any combination of sides having angles located either at θ_{Lb} 30, θ_{Ls} 31 or at θ_{svb} 32.

5 In some embodiments, a single transducer 18 is located on the flat top surface 26 as shown in Figures 1 through 3 and at least one transducer is located on an angled surface 28 of the modal converter 16. However, in an alternative embodiment, each angled surface 28 may include an array of transducers, rather than a single transducer. The array of transducers may include any number of transducers greater than one. Further, alternative embodiments may include only one angled surface having an array of
10 transducers that may or may not include a top surface having an array of transducers. Additionally, any combination of arrays herein described may be included within any of the embodiments set forth above. In still another embodiment, the modal converter may have only a top flat surface 26, with or without angled sides, where the array of transducers on the top surface 26 is electronically phased to form a beam which can be
15 steered electrically to the critical angles θ_{Lb} 30, θ_{Ls} 31 or θ_{svb} 32. The method of electrically steering an acoustic beam is well known to those who practice the art.

As a further extension of these embodiments, the system controller 54 may be programmed to apply therapeutic ultrasound dosages through any combination of described modal converters in order to target various tissue wounds and bone fracture
20 sites in a patient. Additionally, the system controller 54, the programmable signal generator 56 and the transducers 18, 20, and 22 may be included within a single integrated unit. In this embodiment, each unit may be separately mounted on at least one of the plurality of surfaces of the modal converter, mounted as in inset, or mounted inside the modal converter itself, as shown in Figure 4, as a standalone unit with rechargeable
25 batteries 58. Thus, the modal converter could house the transducers 18, 20 and 22, the system controller 54, and the programmable signal generator 56 within a single structure. Further, the integrated standalone units shown in Figure 5 could also be electrically connected to a single external power source.

In any of the previously described embodiments, the plurality of transducers may
30 be programmed with different ultrasonic excitation signals, characterized by amplitude or phase modulation, or both, and by varying the carrier frequency, pulsewidth, pulse repetition frequency, and spatial-average temporal-average (SATA) intensity, such as

those described and schematically depicted in U.S. Patent No. 5,520,612 to *Winder et al.*, which is hereby incorporated by reference. The carrier frequency may be between about 10 kHz and about 10 MHz for one or more of the transducers. The pulsewidth may be within the range from about 100 microseconds to about 100 milliseconds for one or more of the transducers. The pulse repetition frequency may be within the range from about 1 Hz to about 10,000 Hz. The spatial-average temporal-average intensity may be within the range from about 5 mW/cm² to about 500 mW/cm² for one or more of the transducers. The degree of amplitude modulation is defined by the modulation index, which may be within the range from about 0 to about 0.5 for one or more transducers. The phase modulation is defined by the linear or nonlinear frequency versus time characteristic. Typically, the phase modulation may range from a delayed linear (CW) to logarithmic (hyperbolic FM) variation, where the frequency versus time characteristic $f(t)$ is represented by an infinite power series in time given as:

$$f(t) = \alpha_0 + \alpha_1 t + \alpha_2 t^2 + \alpha_3 t^3 + \dots \quad \text{equation 3,}$$

where the set of constants $[\alpha]$ characterize the particular modulation system.

CLAIMS

We claim:

- 1 1. A method of noninvasively applying an ultrasonic excitation signal from at
2 least one transducer to human tissue in vivo for therapeutic applications, **characterized by:**
3 acoustically coupling a modal converter (16) to a tissue surface, wherein
4 the modal converter (16) comprises a top surface (26), a bottom surface (34), and a
5 plurality of side surfaces (28) positioned at angles relative to the bottom surface (34) such
6 that the at least one transducer (20, 22) is acoustically coupled to one of the plurality of
7 side surfaces (28) and can emit an acoustic wave that reflects at an interface and, after
8 reflection, travels parallel to and along the interface; and
9 emitting an acoustic wave from the at least one transducer (20, 22)
10 acoustically coupled to the modal converter (16) at an angle relative to the bottom surface
11 (34) of the modal converter (16), such that the acoustic wave emitted from the at least one
12 transducer (20, 22) reflects upon striking the interface and after reflection travels parallel
13 to and along the interface.
- 1 2. The method of claim 1, **further characterized by** generating an excitation
2 signal and transmitting the excitation signal to the at least one transducer (20, 22).
- 1 3. The method of claim 1, **further characterized by** controlling the spatial
2 and temporal distribution of acoustic energy from the at least one transducer (20, 22)
3 using a system controller (54).
- 1 4. The method of claim 3, **further characterized by** using the system
2 controller (54) comprises using a programmable microprocessor.
- 1 5. The method of claim 1, **further characterized by** generating longitudinal
2 waves that propagate substantially normal to the tissue surface, the waves being generated
3 from at least one transducer (20, 22) positioned on the top surface (26) of the modal
4 converter (16).

1 6. The method of claim 1, **further characterized by emitting an acoustic**
2 wave toward the interface comprises emitting the acoustic wave toward an interface
3 between a skin tissue surface (36) and the modal converter (16).

1 7. The method of claim 6, **further characterized by emitting the acoustic**
2 wave toward an interface between a skin tissue surface and the modal converter (16)
3 comprises emitting the acoustic wave at a first critical angle (30, 31) relative to the
4 bottom surface (34) of the modal converter (16) such that the acoustic wave converts
5 partially into a longitudinal wave traveling parallel to and along the skin tissue surface,
6 and converts partially into a shear wave traveling at a refraction angle, θ_{sv} , after
7 incidence at the interface between the skin tissue surface and the modal converter (16),
8 wherein $\theta_{sv} = \sin^{-1} \{(1-2\nu)/2(1-\nu)\}^{1/2}$, wherein ν represents Poisson's ratio for soft tissue
9 and sv refers to the vertical component of the shear wave (44).

1 8. The method of claim 1, **further characterized by emitting the acoustic**
2 wave toward the interface comprises emitting the acoustic wave toward an interface
3 between bone tissue and surrounding soft tissue.

1 9. The method of claim 8, **further characterized by emitting the acoustic**
2 wave toward an interface between bone tissue and surrounding soft tissue comprises
3 emitting the acoustic wave at a first critical angle relative to the bottom surface (34) of the
4 modal converter (16) such that the acoustic wave converts partially into a longitudinal
5 wave traveling parallel to and along the interface between the surrounding soft tissue and
6 the bone tissue, and converts partially into a shear wave traveling at a refraction angle,
7 θ_{sv} , after incidence at the interface between the surrounding soft tissue and the bone
8 tissue, wherein $\theta_{sv} = \sin^{-1} \{(1-2\nu)/2(1-\nu)\}^{1/2}$, wherein ν represents Poisson's ratio for bone
9 tissue and sv refers to the vertical component of the shear wave.

1 10. The method of claim 9, **further characterized by emitting an acoustic**
2 wave from the at least one transducer (20, 22) at a second critical angle relative to the
3 bottom surface (34) of the modal converter (16) such that the acoustic wave reflects and
4 travels as an acoustic shear wave parallel to and along the interface between the

5 surrounding soft tissue and bone tissue after incidence at the interface between the
6 surrounding soft tissue and bone tissue.

1 11. The method of claim 10, **further characterized by** emitting an acoustic
2 wave from the at least one transducer (20, 22) at the second critical angle that converts
3 totally into an acoustic shear wave traveling parallel to and along the bone tissue surface.

1 12. The method of claim 1, **further characterized by** acoustically coupling a
2 modal converter (16) to a tissue surface comprises acoustically coupling a modal
3 converter (16) comprising a material having an acoustic impedance comparable to an
4 acoustic impedance for human soft tissue.

1 13. The method of claim 1, **further characterized by** acoustically coupling a
2 modal converter (16) to a tissue surface comprises acoustically coupling a modal
3 converter (16) comprising a material having a longitudinal velocity less than a
4 longitudinal velocity for human soft tissue.

1 14. The method of claim 1, **further characterized by** acoustically coupling a
2 modal converter (16) to a tissue surface comprises acoustically coupling a modal
3 converter (16) comprising a material having a longitudinal velocity less than a
4 longitudinal velocity for bone tissue.

1 15. The method of claim 1, **further characterized by** acoustically coupling a
2 modal converter (16) to a tissue surface comprises acoustically coupling a modal
3 converter (16) comprising thermoplastics, thermosets, elastomers or combinations
4 thereof.

1 16. The method of claim 15, **further characterized by** acoustically coupling a
2 modal converter (16) to a tissue surface comprises acoustically coupling a modal
3 converter (16) comprising ethyl vinyl acetate, ecothane, polyurethane, silicone or
4 combinations thereof.

1 17. The method of claim 1, further characterized by acoustically coupling a
2 modal converter (16) to a tissue surface comprises acoustically coupling a modal
3 converter (16) comprising a coupling material having an acoustic impedance comparable
4 to an acoustic impedance for human soft tissue.

1 18. The method of claim 1, further characterized by the emitting of acoustic
2 waves from the at least one transducer (20, 22) comprises emitting occurs multiple times
3 during a time period comprising a dosage period, wherein the dosage period is between
4 about 1 and about 60 minutes.

1 19. The method of claim 2, further characterized by generating an excitation
2 signal comprises generating an excitation signal that is a modulated pulsed sine wave.

1 20. The method of claim 19, further characterized by generating an
2 excitation signal comprises generating an excitation signal that is amplitude modulated.

1 21. The method of claim 19, further characterized by generating an
2 excitation signal comprises generating an excitation signal that is phase modulated.

1 22. The method of claim 21, further characterized by generating an
2 excitation signal comprises generating an excitation signal that is within the range from a
3 delayed linear (CW) to a logarithmic (hyperbolic FM) variation with time, based on a
4 power series representation of a frequency versus time curve as defined by $f(t) = \alpha_0 + \alpha_1 t$
5 $+ \alpha_2 t^2 + \alpha_3 t^3 + \dots$, wherein the set of constants, α , characterize a particular modulation
6 system.

1 23. The method of claim 19, further characterized by generating an
2 excitation signal comprises generating an excitation signal comprising a carrier
3 frequency, a pulsewidth, a pulse repetition frequency, and a spatial-average temporal-
4 average intensity.

1 24. The method of claim 23, further characterized by generating an

2 excitation signal comprises generating an excitation signal comprising a carrier frequency
3 that is within the range of 10 kHz to 10 MHz for the at least one transducer (20, 22).

1 25. The method of claim 23, **further characterized by** generating an
2 excitation signal comprises generating an excitation signal comprising a pulsewidth that
3 is within the range of 100 microseconds to 100 milliseconds for the at least one
4 transducer (20, 22).

1 26. The method of claim 23, **further characterized by** generating an
2 excitation signal comprises generating an excitation signal comprising a pulse repetition
3 frequency that is within the range of 1 Hz to 10,000 Hz for the at least one transducer (20,
4 22).

1 27. The method of claim 23, **further characterized by** generating an
2 excitation signal comprises generating an excitation signal comprising a spatial-average
3 temporal-average intensity that is within the range of 5 mW/cm² to 500 mW/cm² for the
4 at least one transducer (20, 22).

1 28. An apparatus for noninvasively applying an ultrasound excitation signal
2 from at least one transducer (20, 22) to human tissue in vivo for therapeutic applications,
3 **characterized in that :**
4 a modal converter (16) including a top surface (26), a plurality of side surfaces
5 (28), a bottom surface (34), and at least one transducer (20, 22), wherein the plurality of
6 side surfaces (28) are positioned at angles relative to the bottom surface (34) and wherein
7 the at least one transducer (20, 22) is acoustically coupled with one of the plurality of
8 sides of the modal converter (16) and positioned at an angle relative to the bottom surface
9 (34) such that an acoustic wave emitted from the at least one transducer (20, 22) reflects
10 upon striking an interface and travels parallel to and along the interface.

1 29. The apparatus of claim 28, **further characterized in that** a system
2 controller (54) for controlling the spatial and temporal distribution of the acoustic wave
3 from the at least one transducer (20, 22).

1 30. The apparatus of claim 28, **further characterized in that** a system
2 generator for generating and transmitting an excitation signal to the at least one
3 transducer (20, 22).

1 31. The method of claim 29, **further characterized in that** the system
2 controller (54) is a programmable microprocessor.

1 32. The apparatus of claim 28, **further characterized in that** said modal
2 converter (16) comprises at least one transducer (18) positioned on the top surface (26) of
3 the modal converter (16) for generating longitudinal waves normal to the skin tissue
4 surface.

1 33. The apparatus of claim 28, **further characterized in that** the interface
2 comprises an interface positioned between a skin tissue surface and the modal converter
3 (16).

1 34. The apparatus of claim 33, **characterized in that** the at least one
2 transducer (20, 22) is positioned at a first critical angle relative to the bottom surface (34)
3 of the modal converter (16) so that the at least one transducer (20, 22) may emit an
4 acoustic wave that converts partially into a longitudinal wave traveling parallel to and
5 along the skin tissue surface and converts partially into a shear wave traveling at a
6 refraction angle, θ_{sv} , after incidence at the interface between the skin tissue surface and
7 the modal converter, wherein $\theta_{sv} = \sin^{-1} \{(1-2\nu)/2(1-\nu)\}^{1/2}$, wherein ν represents Poisson's
8 ratio for human soft tissue and sv refers to the vertical component of the shear wave.

1 35. The apparatus of claim 28, **further characterized in that** the interface is
2 comprises an interface positioned between surrounding soft tissue and bone tissue.

1 36. The apparatus of claim 34, **further characterized in that** the at least one
2 transducer (20, 22) is positioned at a first critical angle relative to the bottom surface (34)
3 of the modal converter (16) so that the at least one transducer (20, 22) may emit an
4 acoustic wave that converts partially into a longitudinal wave traveling parallel to and

5 along the interface between surrounding soft tissue and bone tissue and converts partially
6 into a shear wave traveling at a refraction angle, θ_{sv} , after incidence at the interface
7 between surrounding soft tissue and bone tissue, wherein $\theta_{sv} = \sin^{-1} \{ (1-2\nu)/2(1-\nu) \}^{1/2}$,
8 wherein ν represents Poisson's ratio for human soft tissue and sv refers to the vertical
9 component of the shear wave.

1 37. The apparatus of claim 28, **further characterized in that** the at least one
2 transducer (22) is positioned at a second critical angle relative to the bottom surface (34)
3 of the modal converter (16) such that the at least one transducer (22) can emit an acoustic
4 wave that reflects at the interface between the surrounding soft tissue and the bone tissue,
5 and after incidence travels as an acoustic shear wave parallel to and along the interface
6 between the surrounding soft tissue and the bone tissue.

1 38. The apparatus of claim 37, **further characterized in that** the acoustic
2 wave emitted from the at least one transducer (22) at the second critical angle converts
3 totally into an acoustic shear wave traveling parallel to and along the interface between
4 the surrounding soft tissue and the bone tissue.

1 39. The apparatus of claim 28, **further characterized in that** said modal
2 converter (16) comprises a material having an acoustic impedance comparable to an
3 acoustic impedance for human soft tissue.

1 40. The apparatus of claim 28, **further characterized in that** said modal
2 converter (16) comprises a material having a longitudinal velocity less than a longitudinal
3 velocity for soft tissue.

1 41. The apparatus of claim 28, **further characterized in that** said modal
2 converter (16) comprises a material having a longitudinal velocity less than a longitudinal
3 velocity for bone tissue.

1 42. The apparatus of claim 28, **further characterized in that** said modal
2 converter (16) comprises thermoplastics, elastomers or combinations thereof.

1 43. The apparatus of claim 42, **further characterized in that** said modal
2 converter (16) further comprises ethyl vinyl acetate, ecothane, polyurethane, silicone or
3 combinations thereof.

1 44. A modal converter (16), **characterized in that:**
2 a top surface (26);
3 a substantially flat bottom surface (34);
4 a plurality of side surfaces (28) capable of receiving at least one transducer (20,
5 22) and positioned at critical angles relative to the bottom surface (34) such that an
6 acoustic wave emitted from at least one transducer (20, 22) acoustically coupled to at
7 least one side surface (28) reflects upon striking an interface and travels parallel to and
8 along the interface.

1 45. The modal converter (16) of claim 44, **further characterized in that** said
2 modal converter (16) further comprises a trapezoidal cross-section.

1 46. The modal converter (16) of claim 44, **further characterized in that** said
2 top surface (26) is substantially parallel to the bottom surface (34).

1 47. The modal converter (16) of claim 44, **further characterized in that** at
2 least one side surface (28) is positioned at a first critical angle relative to the bottom
3 surface (34) of the modal converter (16) so that at least one transducer (20, 22)
4 acoustically coupled to the at least one side surface (28) can emit an acoustic wave that
5 converts partially into a longitudinal wave traveling parallel to and along a skin tissue
6 surface and converts partially into a shear wave (44) traveling at a refraction angle, θ_{sv} ,
7 after incidence at an interface between the skin tissue surface (36) and the modal
8 converter (16), wherein $\theta_{sv} = \sin^{-1} \{ (1-2\nu)/2(1-\nu) \}^{1/2}$, wherein ν represents Poisson's ratio
9 for human soft tissue and sv refers to the vertical component of the shear wave.

1 48. The modal converter (16) of claim 44, **further characterized in that** at
2 least one side surface (28) is positioned at a first critical angle relative to the bottom
3 surface (34) of the modal converter (16) so that at least one transducer (20, 22)

4 acoustically coupled to the at least one side surface (28) can emit an acoustic wave that
5 converts partially into a longitudinal wave traveling parallel to and along an interface
6 between surrounding soft tissue and bone tissue and converts partially into a shear wave
7 traveling at a refraction angle, θ_{sv} , after incidence at the interface between surrounding
8 soft tissue and bone tissue, wherein $\theta_{sv} = \sin^{-1} \{(1-2\nu)/2(1-\nu)\}^{1/2}$, wherein ν represents
9 Poisson's ratio for human soft tissue and sv refers to the vertical component of the shear
10 wave.

1 49. The modal converter (16) of claim 48, **further characterized in that** at
2 least one side surface (28) is positioned at a second critical angle relative to the bottom
3 surface (34) of the modal converter (16) such that at least one transducer (20, 22)
4 acoustically coupled to the at least one side surface (28) can emit an acoustic wave that
5 reflects at the interface between the surrounding soft tissue and the bone tissue, and after
6 incidence travels as an acoustic shear wave parallel to and along the interface between the
7 surrounding soft tissue and the bone tissue.

1 50. The modal converter (16) of claim 49, **further characterized in that** the at
2 least one side surface (28) is positioned at the second critical angle relative to the bottom
3 surface (34) of the modal converter (16) such that an acoustic wave emitted from the at
4 least one transducer (20, 22) acoustically coupled to the at least one side surface (28)
5 converts totally into an acoustic shear wave traveling parallel to and along the interface
6 between the surrounding soft tissue and the bone tissue.

1 51. The modal converter (16) of claim 44, **further characterized in that** said
2 modal converter (16) comprises a material having an acoustic impedance comparable to
3 an acoustic impedance for human soft tissue.

1 52. The modal converter (16) of claim 44, **further characterized in that** said
2 modal converter (16) comprises a material having a longitudinal velocity less than a
3 longitudinal velocity for soft tissue.

1 53. The modal converter (16) of claim 44, **further characterized in that** said

2 modal converter (16) comprises a material having a longitudinal velocity less than a
3 longitudinal velocity for bone tissue.

1 54. The modal converter (16) of claim 44, **further characterized in that** said
2 modal converter (16) comprises thermoplastics, elastomers or combinations thereof.

1 55. The modal converter (16) of claim 54, **further characterized in that** said
2 modal converter (16) further comprises ethyl vinyl acetate, ecothane, polyurethane,
3 silicone or combinations thereof.

1 56. The modal converter (16), of claim 44, **further characterized in that:**
2 at least one side surface (28) contains at least one cavity capable of receiving at
3 least one transducer (20, 22) and wherein said at least one cavity comprises at least one
4 flat surface capable being acoustically coupled to at least one transducer (20, 22) and
5 positioned at a critical angle relative to the bottom surface (34) such that an acoustic wave
6 emitted from at least one transducer (20, 22) acoustically coupled to the at least one flat
7 surface reflects upon striking an interface and travels parallel to and along the interface.

1 57. The modal converter (16) of claim 56, **further characterized in that** at
2 least one flat surface is positioned at a first critical angle (30, 31) relative to the bottom
3 surface (34) of the modal converter (16) so that at least one transducer (20, 22)
4 acoustically coupled to the at least flat side surface (28) can emit an acoustic wave that
5 converts partially into a longitudinal wave traveling parallel to and along a skin tissue
6 surface and converts partially into a shear wave traveling at a refraction angle, θ_{sv} , after
7 incidence at an interface between the skin tissue surface and the modal converter (16),
8 wherein $\theta_{sv} = \sin^{-1} \{ (1-2\nu)/2(1-\nu) \}^{1/2}$, wherein ν represents Poisson's ratio for human soft
9 tissue and sv refers to the vertical component of the shear wave.

1
1 58. The modal converter (16) of claim 56, **further characterized in that** at
2 least one flat surface is positioned at a first critical angle relative to the bottom surface
3 (34) of the modal converter (16) so that at least one transducer (20, 22) acoustically
4 coupled to the at least one flat surface can emit an acoustic wave that converts partially

5 into a longitudinal wave traveling parallel to and along an interface between surrounding
6 soft tissue and bone tissue and converts partially into a shear wave traveling at a
7 refraction angle, θ_{sv} , after incidence at the interface between surrounding soft tissue and
8 bone tissue, wherein $\theta_{sv} = \sin^{-1} \{(1-2\nu)/2(1-\nu)\}^{1/2}$, wherein ν represents Poisson's ratio
9 for human soft tissue and sv refers to the vertical component of the shear wave.

1 59. The modal converter (16) of claim 58, **further characterized in that** at
2 least one flat surface is positioned at a second critical angle relative to the bottom surface
3 (34) of the modal converter (16) such that at least one transducer (20, 22) acoustically
4 coupled to the at least one flat surface can emit an acoustic wave that reflects at the
5 interface between the surrounding soft tissue and the bone tissue, and after incidence
6 travels as an acoustic shear wave parallel to and along the interface between the
7 surrounding soft tissue and the bone tissue.

1 60. The modal converter (16) of claim 59, **further characterized in that** the at
2 least one flat surface is positioned at the second critical angle relative to the bottom
3 surface (34) of the modal converter (16) such that an acoustic wave emitted from the at
4 least one transducer (20, 22) acoustically coupled to the at least one flat surface converts
5 totally into an acoustic shear wave traveling parallel to and along the interface between
6 the surrounding soft tissue and the bone tissue.

1 61. The modal converter (16) of claim 56, **further characterized in that** said
2 modal converter (16) comprises a material having an acoustic impedance comparable to
3 an acoustic impedance for human soft tissue.

1 62. The modal converter (16) of claim 56, **further characterized in that** said
2 modal converter (16) comprises a material having a longitudinal velocity less than a
3 longitudinal velocity for soft tissue.

1 63. The modal converter (16) of claim 56, **further characterized in that** said
2 modal converter (16) comprises a material having a longitudinal velocity less than a
3 longitudinal velocity for bone tissue.

1 64. The modal converter (16) of claim 56, **further characterized in that** said
2 modal converter (16) comprises thermoplastics, elastomers or combinations thereof.

1 65. The modal converter (16) of claim 64, **further characterized in that** said
2 modal converter (16) further comprises ethyl vinyl acetate, ecothane, polyurethane,
3 silicone or combinations thereof.

1 66. The apparatus of claim 28, which is adapted for systemically administering
2 therapeutic ultrasound to a patient, **further characterized in that:**
3 a system controller (54) for controlling the spatial and temporal distribution of
4 acoustic energy from at least one transducer (20, 22) is coupled to the modal converter,
5 which is in the form of a wedge.

1 67. The apparatus of claim 66, **further characterized in that** the system
2 controller (54) is a programmable microprocessor.

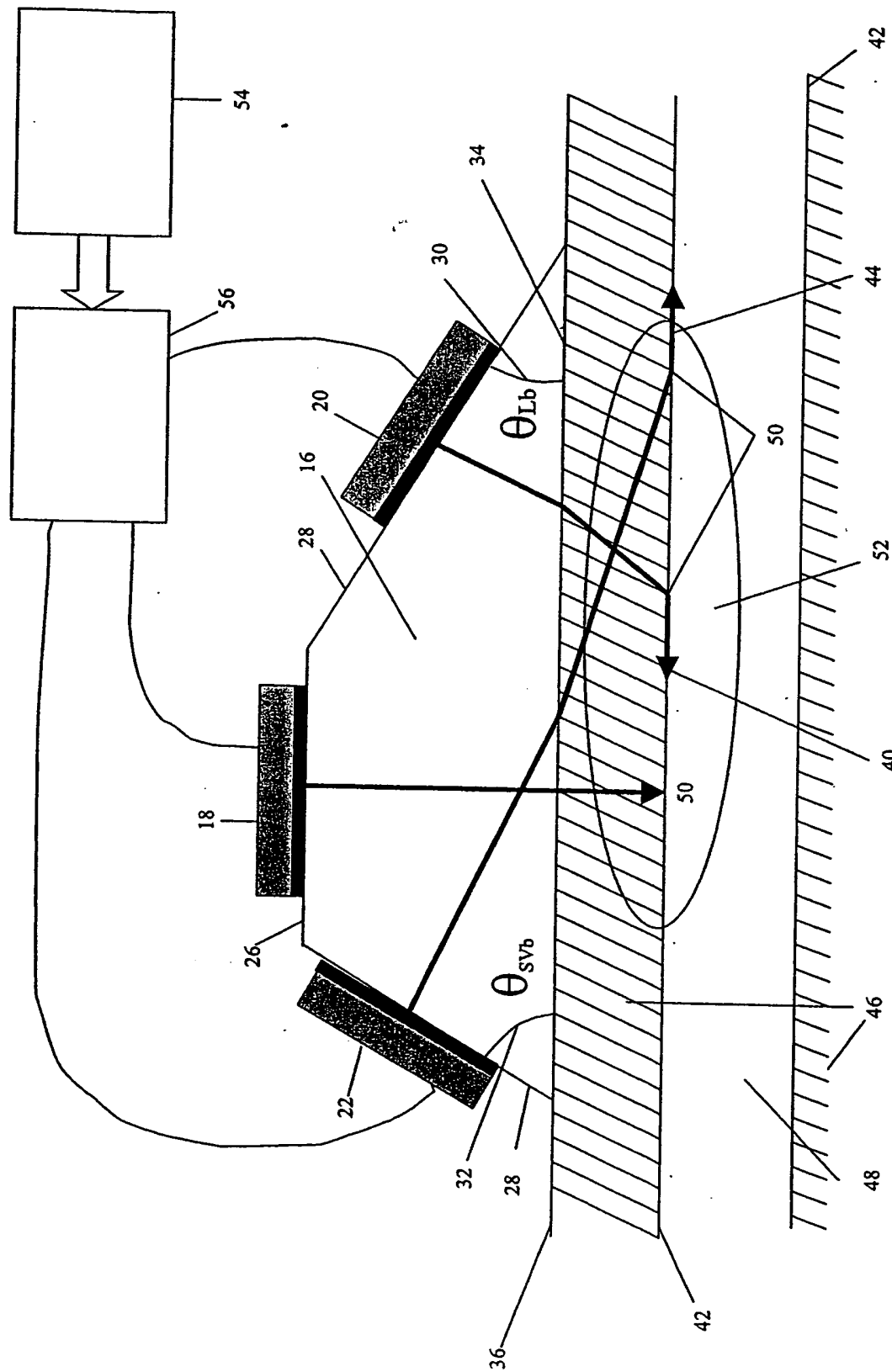


Figure 1

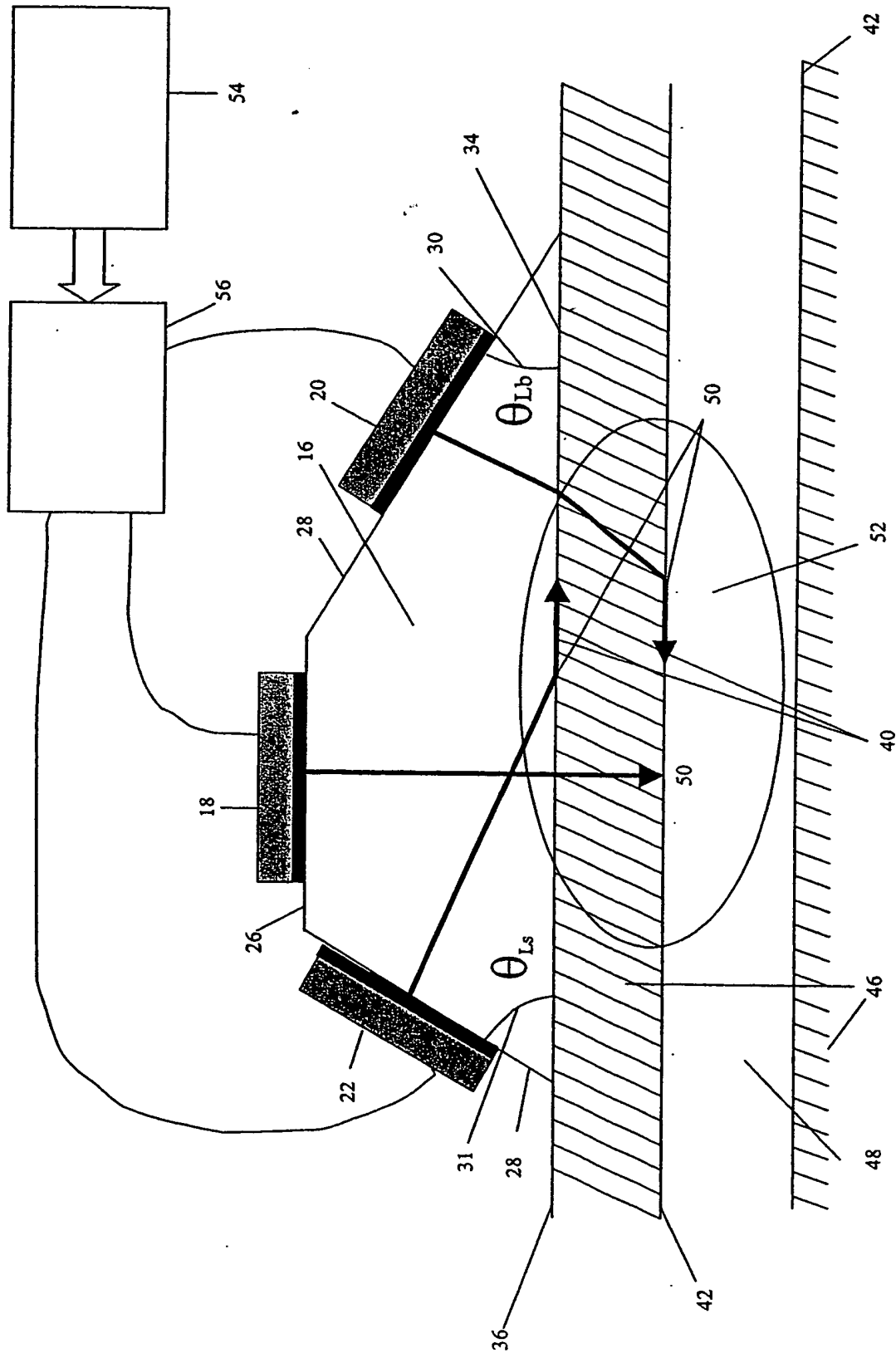


Figure 2

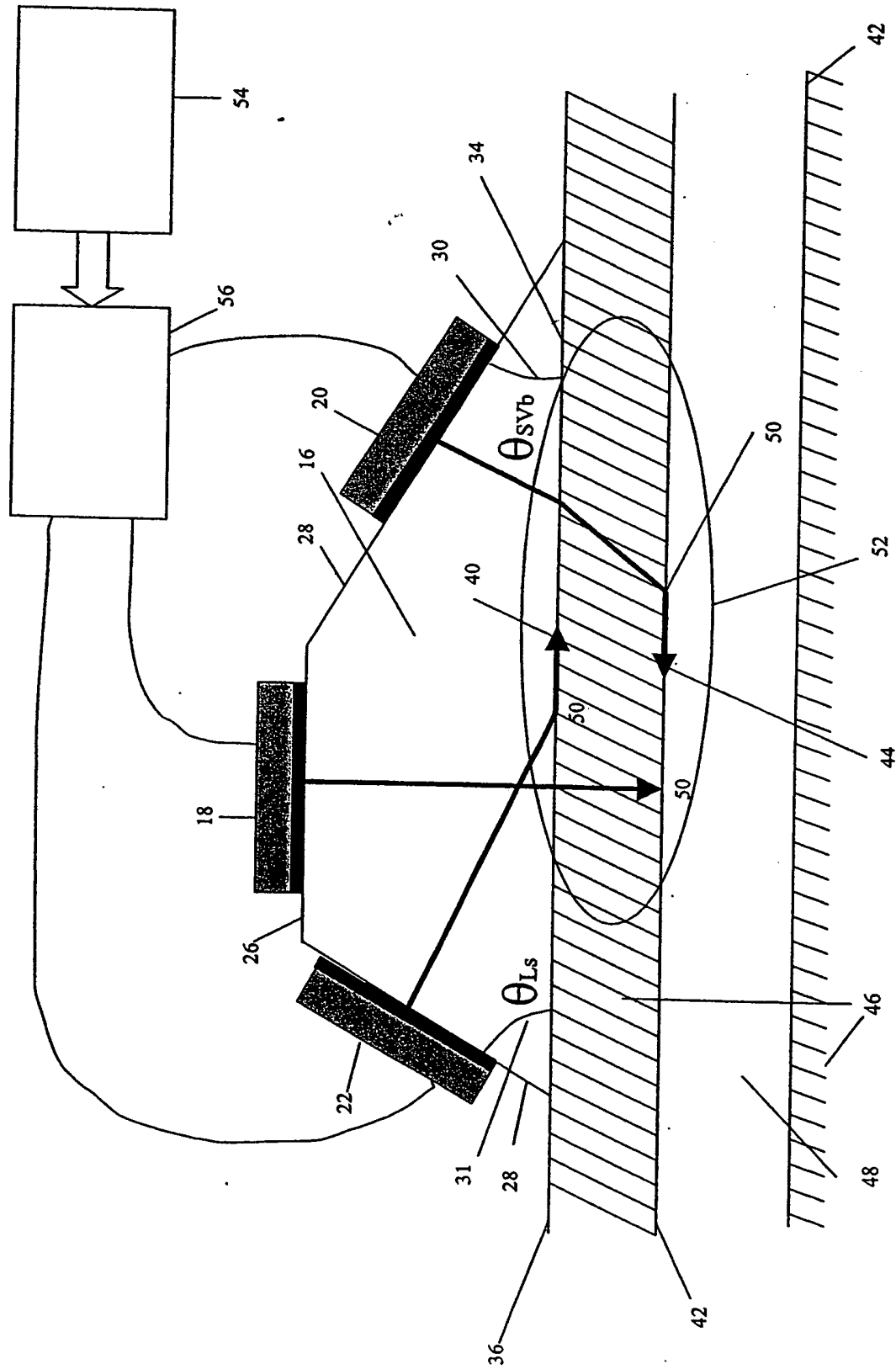


Figure 3

4/5

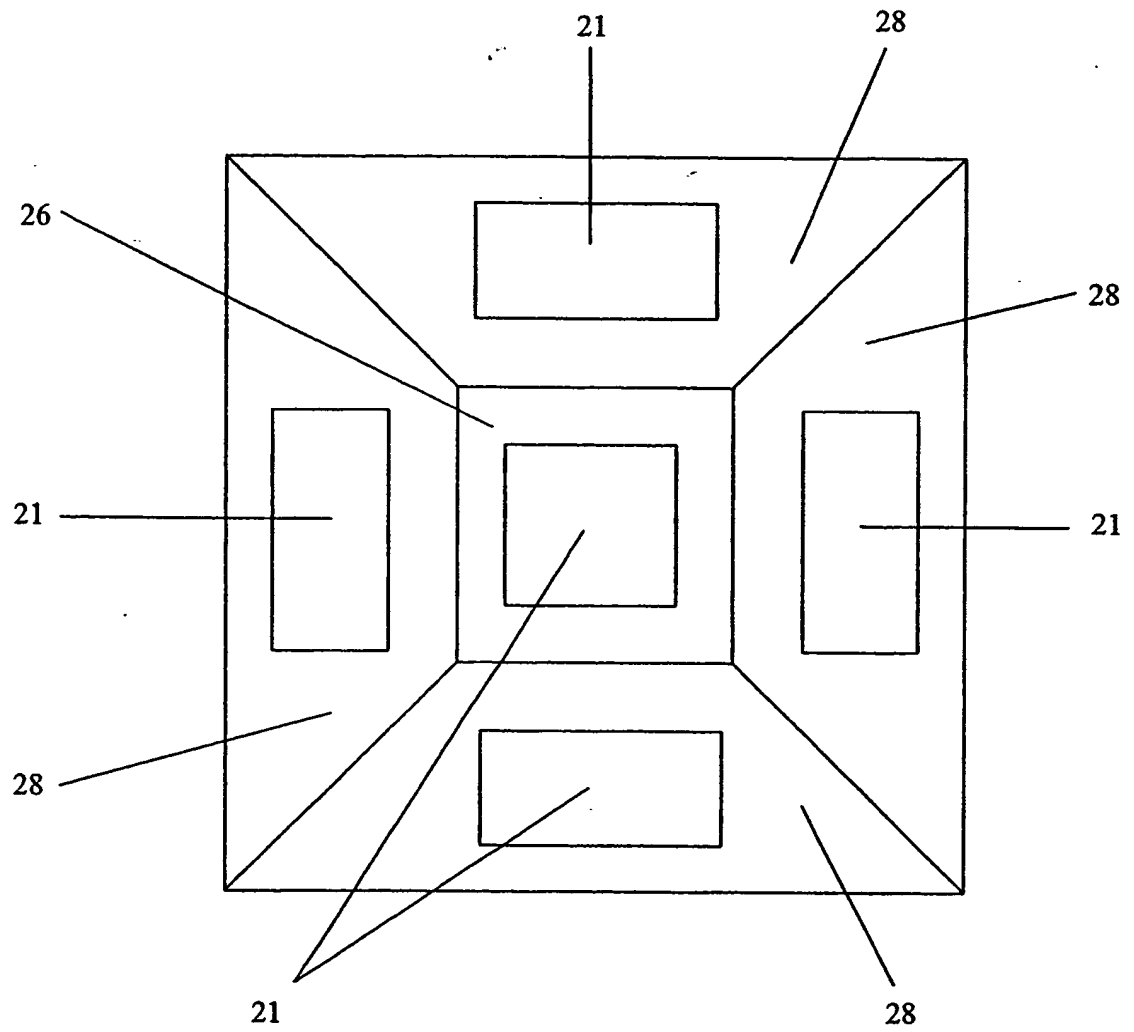


Figure 4

5/5

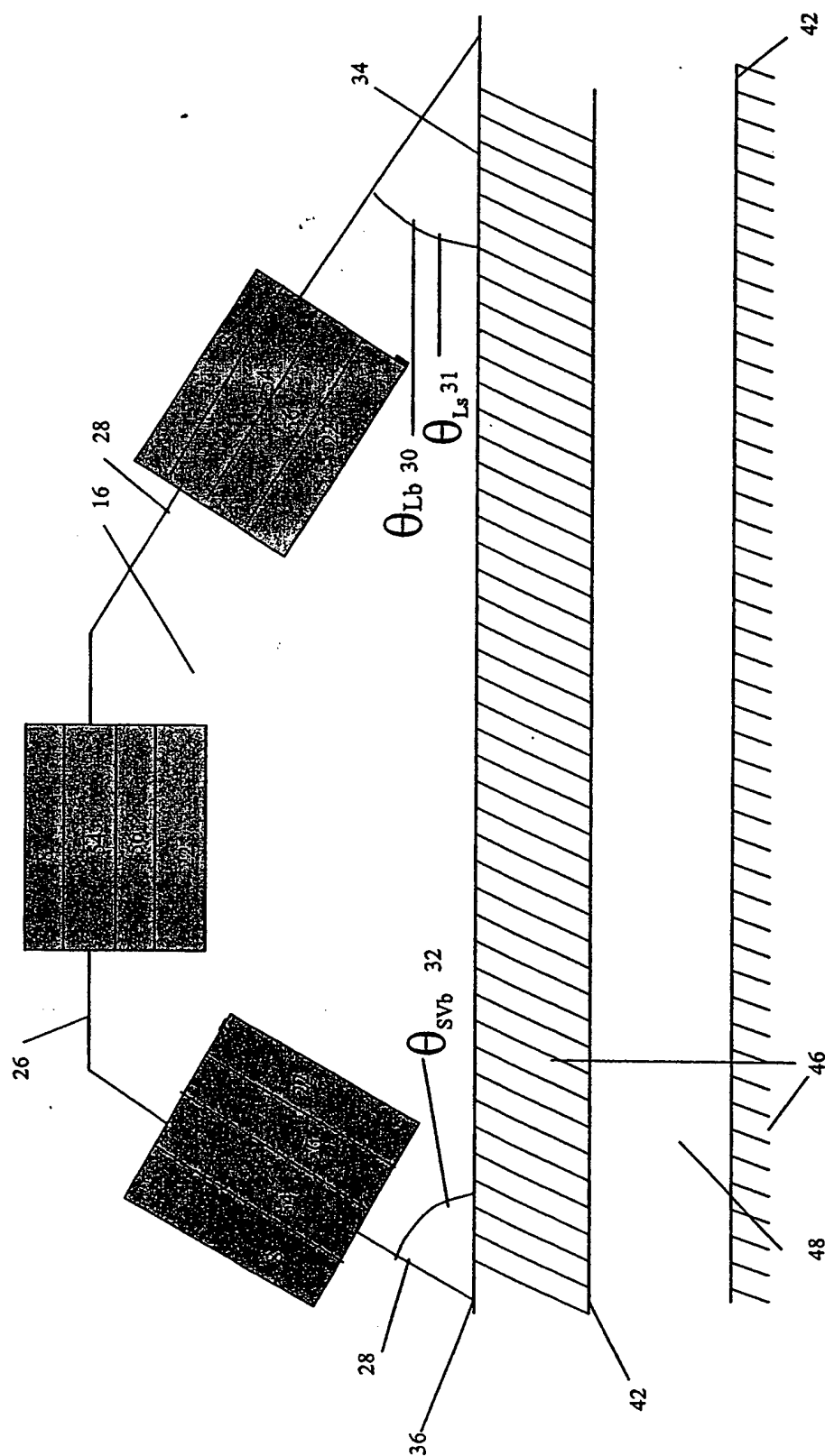


Figure 5

A. CLASSIFICATION OF SUBJECT MATTER
 IPC 7 A61N7/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61N G01N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, COMPENDEX, INSPEC

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0 965 839 A (KAWASAKI STEEL CO) 22 December 1999 (1999-12-22) page 5, line 33 -page 6, line 30; figure 1	28-31, 42-46, 54,55, 66,67
X	US 5 962 790 A (LIU YI ET AL) 5 October 1999 (1999-10-05) column 15, line 56 -column 16, line 12; figure 15	28, 44-46,56
A	WO 99 56829 A (WINDER ALAN A ;EXOGEN INC (US); TALISH ROGER J (US)) 11 November 1999 (1999-11-11) page 12, line 12 - line 21; figure 2B -/--	28,44

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

*** Special categories of cited documents :**

A document defining the general state of the art which is not considered to be of particular relevance

E earlier document but published on or after the international filing date

L document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) —

O document referring to an oral disclosure, use, exhibition or other means

P document published prior to the international filing date but later than the priority date claimed

T later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

X document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

Y document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

Z document member of the same patent family

Date of the actual completion of the international search

8 November 2002

Date of mailing of the international search report

15/11/2002

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

Mayer, E

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 99 58080 A (ROSE EMERY S) 18 November 1999 (1999-11-18) page 11, line 4 -page 12, line 4; figure 2 -----	28,44

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US 02/24389

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.: 1-27
because they relate to subject matter not required to be searched by this Authority, namely:
Rule 39.1(iv) PCT - Method for treatment of the human or animal body by therapy
2. ☐ Claims Nos.:
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
EP 0965839	A	22-12-1999	JP 11133005 A	21-05-1999
			AU 752801 B2	03-10-2002
			AU 9650498 A	24-05-1999
			BR 9806287 A	25-01-2000
			EP 0965839 A1	22-12-1999
			US 6446509 B1	10-09-2002
			US 6341525 B1	29-01-2002
			CN 1249814 T	05-04-2000
			WO 9923486 A1	14-05-1999
			JP 2000035418 A	02-02-2000
US 5962790	A	05-10-1999	US 6343511 B1	05-02-2002
			EP 0835444 A1	15-04-1998
			JP 11507723 T	06-07-1999
			WO 9641157 A1	19-12-1996
WO 9956829	A	11-11-1999	AU 3972199 A	23-11-1999
			EP 1076586 A1	21-02-2001
			JP 2002513661 T	14-05-2002
			WO 9956829 A1	11-11-1999
WO 9958080	- A	18-11-1999	AU 3881299 A	29-11-1999
			WO 9958080 A1	18-11-1999
			US 6413220 B1	02-07-2002

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☐ FADED TEXT OR DRAWING
- ☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.

THIS PAGE BLANK (USPTO)